

YOHA SECURITIES LIMITED
(Participant : National Securities Depository Limited)

DP ID : IN 303315

DEPOSITORY ACCOUNT OPENING FORM

INDIVIDUAL

Client Name : _____

Client ID :

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Registered Office :

YOHA SECURITIES LIMITED

"Capital Place", 16/50, South Boag Road,
T. Nagar, Chennai - 600 017.

Phone : 044 - 2435 5634, 2433 4379, 2435 5259, 2432 1313

E-mail ID : yoha_dp@yohasecurities.com / yoha.dp@gmail.com

Important Instructions:

1. Communications will be sent to the Sole/First holder Address only. If local / permanent address and correspondence given, Address proof required for both.)
2. Father's / Spouse name should be mentioned as well as Telephone no.,/mobile/email id should be filled in.
3. Corrections in application or in Signature column will not be accepted.
4. In case of HUF A/C or Minor's A/C, Joint holders or nomination is not allowed.
5. In case of HUF accounts, the HUF declaration mentioning the list of members of the HUF and the appointment of the Karta should be submitted along with the account opening set. Copy of the PAN Card and Proof of Address of the Karta will also have to be submitted.
6. 2nd or 3rd holder is a minor, application will be rejected.
7. PAN card should in the same name in which the account is opened.
8. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
9. **As per NSDL Circular No. NSDL/PI/2004/1622 dated September 7, 2004 pursuant to SEBI Circular No. MRD/DoP/Dep/Cir-29/2004 dated August 24, 2004 a copy of any one of the following documents may be accepted as proof of identity / proof of address (local/correspondence/foreign address as the case may be) & Copies of ID proof / Address Proof should be identifiable.**

Proof of Identity: Passport, Voter ID Card, Driving license, PAN card with photograph(Compulsory), MAPIN card, Identity card/document with applicant's Photo, issued by a) Central/State Government and its Departments, b) Statutory/Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to Universities, g) Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and h) Credit cards/Debit cards issued by Banks.

Proof of Address: Ration card, Passport, Voter ID Card, Driving license, Bank passbook attested by bank manager, verified copies of Electricity bills (not more than two months old)/ Residence Telephone bills (not more than two months old)/ Leave and License agreement / Agreement for sale, Self-declaration by High Court & Supreme Court judges, giving the new address in respect of their own accounts, Identity card/ document with address, issued by a) Central/State Government and its Departments, b) Statutory/ Regulatory Authorities, c) Public Sector Undertakings, d) Scheduled Commercial Banks, e) Public Financial Institutions, f) Colleges affiliated to universities; and g) Professional Bodies such as ICAI, ICWAI, Bar Council etc., to their Members.

Participants must verify the copy of the document with the original.

10. **Instructions related to nomination, are as below:**
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the Beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
11. Signatures on all the pages of the account opening set should be identical.
12. Strike off whichever is not applicable.

KNOW YOUR CLIENT (KYC) APPLICATION FORM (FOR INDIVIDUALS)

NEW CHANGE REQUEST (Please tick ✓ the appropriate)

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**

(Please tick ✓ the on left margin of appropriate row where **CHANGE / CORRECTION** is required and provide the details in the corresponding row.

<p style="text-align: center;">YOHA SECURITIES LIMITED</p> <p style="font-size: small;">Participant : National Securities Depository Limited DP ID : IN 303315 "Capital Place", 16/50, South Boag Road, T. Nagar, Chennai - 600 017. Phone : 044 - 2435 5634, 2433 4379, 2435 5259, 2432 1313 E-mail ID : yoha_dp@yohasecurities.com / yoha.dp@gmail.com</p>	<p>Acknowledgement No.</p>
<p>A. IDENTITY DETAILS</p> <p><input type="checkbox"/> 1. Name of the Applicant : _____</p> <p><input type="checkbox"/> 2. Father's/ Spouse Name : _____</p> <p><input type="checkbox"/> 3a. Gender : <input type="checkbox"/> Male <input type="checkbox"/> Female 3b. Marital Status : <input type="checkbox"/> Single <input type="checkbox"/> Married 3c. Date of birth : _____ (dd/mm/yyyy)</p> <p><input type="checkbox"/> 4a. Nationality : <input type="checkbox"/> Indian <input type="checkbox"/> Other (Please specify) _____</p> <p><input type="checkbox"/> 4b. Status: <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident <input type="checkbox"/> Foreign National</p> <p>5a. PAN : _____</p> <p><input type="checkbox"/> 5b. Unique Identification Number (UID) / Aadhaar, if any : _____</p> <p>6. Specify the proof of identity submitted: <input type="checkbox"/> PAN Card <input type="checkbox"/> Other (Please specify) _____</p>	<div style="border: 1px solid black; padding: 5px; text-align: center;"> <p>PHOTOGRAPH</p> <p style="font-size: x-small;">Please affix your recent passport size photograph and sign across it</p> </div>
<p>B. ADDRESS DETAILS</p> <p><input type="checkbox"/> 1. Residence Address : _____</p> <p style="margin-left: 20px;">_____</p> <p style="margin-left: 20px;">City/Town/Village: _____ Pincode: _____ State: _____ Country: _____</p> <p><input type="checkbox"/> 2. Specify the Proof of Address submitted for Residence / Correspondence Address : _____</p> <p><input type="checkbox"/> 3. Contact Details: Tel.(Off.) _____ Tel.(Res.) _____ Mobile No: _____</p> <p style="margin-left: 20px;">Fax: _____ Email id : _____</p> <p><input type="checkbox"/> 4. Permanent Address (if different from above or Overseas Address, Mandatory for Non-Resident Applicant) :</p> <p style="margin-left: 20px;">_____</p> <p style="margin-left: 20px;">_____</p> <p style="margin-left: 20px;">City/Town/Village: _____ Pincode: _____ State: _____ Country: _____</p>	
<p>DECLARATION</p> <p>I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.</p> <p style="text-align: right; margin-right: 50px;"><input checked="" type="checkbox"/></p> <p>Date : _____ (dd/mm/yyyy) Signature of the Applicant</p>	
<p>FOR OFFICE USE ONLY</p> <p>In Person Verification (IPV) Details :</p> <p>Name of the Person who has done the IPV : _____</p> <p>Designation : _____ Employee ID : _____</p> <p>Name of the Organization : YOHA SECURITIES LIMITED</p> <p>Date of IPV : _____ (DD/MM/YYYY) Signature of the person who has done the IPV Seal/Stamp of the Intermediary</p> <p><input type="checkbox"/> Originals verified and Self Attested copies received</p>	

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIOCard/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/ judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI) : List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/ Voters Identity Card/ Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament/ Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments Statutory/Regulatory Authorities, Public Sector Undertakings Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Bank Details (Please Tick)	Savings <input type="checkbox"/>	Current <input type="checkbox"/>	NRE <input type="checkbox"/>	NRO <input type="checkbox"/>	Others <input type="checkbox"/> _____
Bank Account No.					
Bank Name					
Branch Address					
City :	State :		Pin Code		
9-Digits MICR					
IFSC CODE					

Note : Bank passbook copy with latest updations or Latest Bank Statement along with cheque leaf copy is required

NRI Details :

Foreign Address					
RBI Reference No.			RBI Approval Date		

Note : In case of NRIs (Repatriation or Non-Repatriation) foreign address will have to be compulsorily mentioned and proof of address for the same will have to be submitted & Copy of the portfolio investment scheme approval to be enclosed.

Guardian Details (In case the First / Sole Holder is a minor)

Name (Mr. / Ms.)					
Relationship					
Address					
City :	State :		Pin Code		
Telephone No.			Mobile :		
Date of Birth (of Minor)	(Copy of Birth Certificate to be enclosed)				

Second Holder's Details

Name (Mr. / Ms.)					Sex (Please tick)
Name of Father / Husband					<input type="checkbox"/> Male <input type="checkbox"/> Female
Local / Permanent Address (Mandatory)					Pin Code
PAN Number					
Telephone No. (with STD Code)			Fax No. (with STD Code)		
Mobile No.			SMS Facility	<input type="checkbox"/> Yes	<input type="checkbox"/> No
E-mail ID :					
Occupation	<input type="checkbox"/> Salaried <input type="checkbox"/> Business <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculture <input type="checkbox"/> Others				
Additional Financial Details					
Financial Status (Annual Income)	<input type="checkbox"/> < Rs.1 Lakh <input type="checkbox"/> Rs.1 - 5 Lakhs <input type="checkbox"/> Rs.5-10 Lakhs <input type="checkbox"/> Rs.10-25 Lakhs <input type="checkbox"/> >Rs.25 Lakhs				
Nature of Business					

Email ID belongs to Me My Family Mobile No belongs to Me My Family (spouse, dependent children & dependent parents)

Email ID belongs to Me My Family Mobile No belongs to Me My Family (spouse, dependent children & dependent parents)

Third Holder's Details

Name (Mr. / Ms.)						Sex (Please tick)
Name of Father / Husband						<input type="checkbox"/> Male <input type="checkbox"/> Female
Local / Permanent Address (Mandatory)						
City :	State :	Pin Code				
PAN Number						
Telephone No. (with STD Code)		Fax No. (with STD Code)				
Mobile No.		SMS Facility	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
E-mail ID :						
Occupation	<input type="checkbox"/> Salaried <input type="checkbox"/> Business <input type="checkbox"/> Housewife <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Agriculture <input type="checkbox"/> Others					
Additional Financial Details						
Financial Status (Annual Income)	<input type="checkbox"/> < Rs.1 Lakh <input type="checkbox"/> Rs.1 - 5 Lakhs <input type="checkbox"/> Rs.5-10 Lakhs <input type="checkbox"/> Rs.10-25 Lakhs <input type="checkbox"/> >Rs.25 Lakhs					
Nature of Business						

Note : Copy of PAN Card & Address proof (Valid) is required with self-attestation. For Valid Address Proof see the List in Instruction Page.

Standing Instructions : I / We authorise you to receive credits automatically into my / our account	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Power of Attorney : Account to be operated through Power of Attorney (POA)	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Note : Power of Attorney holder cannot sign the account opening set and open a Demat Account. The account opening set will have to be compulsorily signed by respective account holders.

PHOTOGRAPH OF ALL HOLDERS

Please attach recent colour passport size photograph in the space provided below :

First / Sole Holder (In case of minor both minor and guardian photo's to be affixed)	Second Holder	Third Holder	Guardian (If sole holder is minor)
Please affix your recent passport size photograph & sign across	Please affix your recent passport size photograph & sign across	Please affix your recent passport size photograph & sign across	Please affix your recent passport size photograph & sign across

Declaration

I/We have read the Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I / We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Signatures : (in BLACK INK only) (To be signed in front of the YOHA Staffs only)

Sole / First Holder / Guardian (in case of First Holder is a Minor)	<input checked="" type="checkbox"/>
Second Holder	<input checked="" type="checkbox"/>
Third Holder	<input checked="" type="checkbox"/>



YOHA SECURITIES LTD

FACTA - CRS Declaration & Supplementary KYC Information Declaration Form for Individuals

Please seek appropriate advice from your professional tax advisor on your tax residency and related
FACTA & CRS guidance

PAN*											
Name											
Address Tupe [for KYC address]	<input type="checkbox"/> Residential		<input type="checkbox"/> Residential / Business								
	<input type="checkbox"/> Business		<input type="checkbox"/> Registered Office								
Place of Birth					Country of Birth						
Gross Annual Income Details in INR	<input type="checkbox"/> Below 1 Lakh	<input type="checkbox"/> 1-5 Lacs	Occupation Details [Please tick any one (✓)]			<input type="checkbox"/> Business	<input type="checkbox"/> Professional				
	<input type="checkbox"/> 5-10 Lacs	<input type="checkbox"/> 10-25 Lacs				<input type="checkbox"/> Public Sector	<input type="checkbox"/> Private Sector				
	<input type="checkbox"/> 25 Lacs -1 Cr	<input type="checkbox"/> > 1 Crore				<input type="checkbox"/> Government Service	<input type="checkbox"/> Housewife				
Net Worth in INR. In Lacs [Optional]	_____				<input type="checkbox"/> Agriculturist			<input type="checkbox"/> Retired			
Net Worth Date [Optional]	dd-mm-yyyy				<input type="checkbox"/> Forex Dealer			<input type="checkbox"/> Others [Please specify] _____			
Politically Exposed Person [PEP]	<input type="checkbox"/> Yes		<input type="checkbox"/> Related to PEP		Any other information [if applicable]						
	<input type="checkbox"/> Not Applicable										

* If PAN is not available, please specify Folio No(s)

Is your Country of Tax Residency other than India - Yes No

If 'Yes', please specify the details of all countries where you hold tax residency and its Tax Identification Number & type

S. No.	Country of Tax Residency #	Tax Payer Identification Number / Functional Equivalent	Identification Type [TIN or other, please specify]
1			
2			
3			

to include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA

Declaration:

I acknowledge and confirm that the information provided above is true and correct to the best of my knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/ am aware that i may liable for it. I hereby authorize you close,share,rely,remitt in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to / any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me of the same. Further, I authorize to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI / RBI / IRDA / PFRDA to facilitate single submission / update & for other relevant purposes. I also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities. I/We authorize Fund/AMC/RTA to provide relevant information to upstream payors to enable withholding to occur and pay out any sums from my account or close or suspend my account(s) without any obligation of advising me of the same.

Signature :

Date :

Place :

(X)

Notes:

1. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
2. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
3. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
4. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
5. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
6. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
7. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
8. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
9. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
10. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
11. Savings bank account details shall only be considered if the account is maintained with the same participant.
12. DP ID and client ID shall be provided where demat details is required to be provided.

Know your customer & In-Person verification

I hereby confirm the identity of the applicants including guardian (in case of minor account), by verifying the photographs affixed in the account opening form as well the proof of identity documents as per NSDL Circular with the person concerned. The applicants have affixed their signatures in my presence.

Name of the DP & DP id	Yoha Securities Limited - IN303315	
Name & Details of Branch		
Name & Employee code of the staff		
Date & Place where 'In person' verification was done		
Signature of the Staff who does the 'In-person' Verification		
Signature of the Clients:		
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
_____ (Sole / First Holder)	_____ (Second Holder)	_____ (Third Holder)

SCHEDULE - A

Please select the Scheme

Details	Scheme 1 <input type="checkbox"/>	Scheme 2 <input type="checkbox"/>
Advance charges (charges taken in Advance) refundable while A/c Closing after deducting our Charges	Rs. 1000/-	Rs. 500/-
Account Maintenance Charges	Rs. 240/- per annum	Rs. 300/- Per annum
Demat	Rs. 3/- per certificate plus Courier charges at actual	Rs. 3/- per certificate plus Courier charges at actual
Remat	Rs.15/- per certificate plus courier charges & nsdl charge	Rs.15/- per certificate plus courier charges & nsdl charge
Transaction (Debit) per ISIN per transfer	Rs. 20/- Market Transfers to YOHA Securities Limited Rs. 25/- to Off market Transfers and to other Clearing Members	Rs. 25/- Market Transfers to YOHA Securities Limited Rs. 30/- to Off market Transfers and to other Clearing Members
Pledge (creation / Closure/Invocation) per ISIN per transfer	Rs. 30/-	Rs. 30/-
Failed Transactions	Rs. 25/- Per company(ISIN)	Rs. 25/- Per company(ISIN)
Additional Statement	Rs. 15/-	Rs. 15/-
Late fees	Rs, 50/-	Rs, 50/-
Annexure L / N Book	Rs. 15/-	Rs. 15/-
Service Tax	10.3%	10.3%

- All Charges will be adjusted in Advance Charges
- Cheque bounce charges will Rs. 100/-
- While the Minimum Advance Charges come to Rs. 200/- will be informing you to pay the Advance charges.
- Bill payments to be made within 15days of the Bills received. Late payments will carry 24% interest on outstanding amount.

(X)

(Sole / First Holder)

(X)

(Second Holder)

(X)

(Third Holder)

ACKNOWLEDGEMENT

YOHA SECURITIES LIMITED
DP ID: IN303315

"Capital Place", 16/50, South Boag Road, T. Nagar, Chennai 600 017.
Ph: 2433151/24353011 E-mail Id : yoha.dp@gmail.com

I/We hereby acknowledge the receipt of

1. Duly executed copy of KYC
2. Copy of Rights & Obligations of BO and DP.
3. Copy of Schedule A (Tariff sheet)
4. Copy of all other documents executed by me/us/

Account Opening Kit-Physical Electronic

I/We have also been informed that the standard set of documents has been displayed for information on stock Broker/Depository participant website.

(X)

(Sole / First Holder)

(X)

(Second Holder)

(X)

(Third Holder)

DIGITAL STATEMENT

Date: _____

To,

YOHA SECURITIES LIMITED
"Capital Place",
16/50, South Boag Road,
T. Nagar, Chennai - 600 017.

Reg : Beneficial Owner (BO) Account No. _____

I / We _____ (name)
confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I / We confirm that the dispatch of statement of account to me / us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me / us with statement of my / our BO account. But I / We reserve my / our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[E-mail address : _____]

I / We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch / service of my / our statement of account on me / us shall not be binding upon you unless you are intimated in writing by me / us by acknowledged delivery.

Yours faithfully,

	Sole / First Holder	Second Holder	Third Holder
Name			
Signature	ⓧ	ⓧ	ⓧ

OPTION FORM FOR ISSUE OF DIS BOOKLET

Date									
------	--	--	--	--	--	--	--	--	--

DP ID	IN 303315	Client ID	
Sole/ First Holder's Name			
Second Holder's Name			
Third Holder's Name			

To
YOHA SECURITIES LIMITED
"Capital Place", 16/50, South Boag Road,
T. Nagar, Chennai - 600 017.

Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

OPTION 1:

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our NSDL account though I/we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager..

Yours faithfully,

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	⊗	⊗	⊗

OR

OPTION 2:

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully,

	First / Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures	⊗	⊗	⊗

Date : _____

From

To,

YOHA SECURITIES LIMITED
"Capital Place",
16/50, South Boag Road,
T. Nagar, Chennai - 600 017.

Dear Sir,

Sub : Undertaking for opening and operation of NRI / Foreign National demat account

This is with reference to the account opening form number submitted by us for opening a Demat account with you.

We hereby undertake that :

1. I/We are complied and will continue to comply with FEMA regulations.
2. We shall be responsible for complying with the Sectoral caps/limits specified under FDI policy and other guidelines / circulars of RBI, FEMA regulations and any other Laws applicable issued from time to time for investment in securities.
3. We shall also be responsible for complying with the SEBI (SAST) Regulations.

Thank you,

Yours truly,

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